



GMBB INVESTMENT LTD
ORDER EXECUTION POLICY
Initial Version, February 2023

1. INTRODUCTION

GMBB Investment LTD is an Investment Firm that owns and operates the brand “**NRDX**” (www.nrdx.com). GMBB Investment LTD is registered in Mauritius with company number 195321, and is authorized and regulated by the Mauritius Financial Services Commission (“FSC”) with license number GB22201139 to carry out certain categories of financial investment business as permitted under the Mauritius Financial Services Act 2007. The Company’s registered office is located at 7th Floor, NeXTeracom Tower 1, Cybercity, Ebene, Republic of Mauritius. GMBB INVESTMENTS CY LTD (a company duly incorporated under the laws of Cyprus with a registration number HE 445963) is a paying agent of GMBB Investment LTD (Mauritius). Please refer to the [website](#) of the Company for more information.

The Company is operating under the Section 72 of the Mauritius Financial Services Act 2007 (the “Act”), Section 29 of the Securities Act 2005 (collectively the “Act and Applicable Regulations”).

This notice is provided to you, in accordance with the above applicable regulations, because you are considering dealing with the Company in the investment products provided by the Company. Each instrument product and service have its own distinct risks. This notice/Policy cannot and does not disclose or explain all of the risks and other significant aspects involved in these products or how such risks relate to your personal circumstances. This notice is solely designed to explain in general terms the nature of the risks particular to dealing in the instruments offered by the Company and to help you to take investment decisions on an informed basis.

Prior to applying for an account, you should consider carefully whether trading in Forex/CFDs provided by the Company is suitable for you in light of your needs, circumstances and financial situation. Trading in Forex/CFDs entails the use of leverage. If you are in any doubt about the risks involved with your account, you should seek professional advice. In considering whether to engage in this form of trading, you should be aware of the following:

2. SCOPE AND SERVICES

This Policy applies when executing transactions with you provided by the Company. It is up to the Company's discretion to decide which types of instruments to make available and to publish the prices at which these can be traded.

The Client is given the option to place with the Company the following orders, then that open position can only be closed with the Company:

- The Client places a market order which is an order instantly executed against a price that the Company has provided. The Client may attach to a market order a Stop Loss and/or Take Profit. Stop Loss is an order to limit Client's loss, whereas Take Profit is an order to limit Client's profit.
- The Client places a pending order, which is an order to be executed at a later time at the price that the Client specifies. The Company will monitor the pending order and when the price provided by the Company reaches the price specified by the Client, the order will be executed at that price. The following types of pending orders are available: Buy Limit (an order to purchase an instrument at or below a specified price, "Buy-Stop" (an order to buy an instrument which is entered at a price above the current offering price; it is triggered when the market price touches or goes through the buy stop price). Sell Limit (an order to see an instrument at a specified price or better) and Sell Stop (an order to sell an instrument when it reaches a certain price). You may attach to any pending order a stop loss and/or take profit.

The Client may modify an order before it is executed. The Client has no right to change or remove Stop Loss, Take Profit and Pending Orders if the price has reached the level of the order execution.

3. BEST EXECUTION FACTORS

The Company shall take all reasonable steps to obtain the best possible result for its Clients taking into account the following factors when executing clients' orders:

- Price
- Costs
- Speed of Execution
- Likelihood of Execution
- Likelihood of Settlement
- Size of Order
- Market Impact

The Company does not consider the above list exhaustive and the order in which the

above factors are presented shall not be taken as priority factor. Nevertheless, whenever there is a specific instruction from the client the Company shall make sure that the Client's order shall be executed following the specific instruction.

4. BEST EXECUTION CRITERIA

The Company will determine the relative importance of the above factors by using its commercial judgment and experience in the light of the information available on the market and taking into account the criteria described below:

- The characteristics of the client
- The characteristics of the order
- The characteristics of the instruments that are subject of that order
- The characteristics of the execution venues to which that order can be directed

5. EXECUTION VENUES

For the purposes of orders the Company shall collaborate with Liquidity Providers for the execution of the orders.

Best Execution: It is the Company's policy to maintain such internal procedures and principles in order to act for the best interest of its Clients and provide them the best possible result ("Best Execution") when dealing with them

Off-exchange transactions: The Client acknowledges that the transactions entered with the Company may expose the client to greater risks than regulated exchange transactions. Therefore, the Company may not execute an order, or it may change the opening (closing) price of an order in case of any technical failure of the trading platform or quote feeds. The terms and conditions and trading rules are established solely by the Company.

6. MONITOR AND REVIEW

The Company will monitor on a regular basis the effectiveness of this Policy and the execution quality of the procedures explained in the Policy and, where appropriate, reserves the right to correct any deficiencies.

In addition, the Company will review the Policy at least annually. A review will also be carried out whenever a material change occurs that affects the ability of the Company to continue to the best possible result for the execution of its client orders on a consistent basis using the venues included in this Policy. The Company will notify its affected clients

on any changes in this Policy. The Company reserves the right to review and/or amend its Policy and arrangements, at its sole discretion whenever it deems fit or appropriate.